



Annexure - 'E'

ANNUAL RETURN

**Form No. MGT-9 (Extract of annual return as on F.Y. ended on 31/03/2020)
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the
Companies (Management and Administration) Rules, 2014]**

I. REGISTRATION AND OTHER DETAILS

i)	Corporate Identification Number	L67190GJ1984PLC006746
ii)	Registration Date	15/02/1984
iii)	Name of the Company	AUSOM ENTERPRISE LIMITED
iv)	Category / Sub-Category of the Company	COMPANY LIMITED BY SHARES / INDIAN NON-GOVERNMENT COMPANY
v)	Address of the Registered office and contact details	11-B, NEW AHMEDABAD INDUSTRIAL ESTATE, SARKHEJ BAVLA ROAD, VILLAGE MORAIYA - 382213 DIST.: - AHMEDABAD GUJARAT. INDIA Tel: +91 79 2642 1422-1499 Fax: +91 79 2656 9898
vi)	Whether listed company	YES BSE Limited and, National Stock Exchange of India Limited
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	LINK INTIME INDIA PVT. LTD. 5TH FLOOR, 506 TO 508, AMARNATH BUSINESS CENTER - L (ABC-I), BESIDE GALA BUSINESS CENTER, NR. ST. XAVIER'S COLLEGE CORNER, OFF C.G. ROAD, NAVRANGPURA, AHMEDABAD- 380 009. CONTACT NO.: 079-26465179

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of Main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
1.	Bullion & Jewellery	Group. 464 (Sub-class: 46498) -Wholesale of precious metals and jewellery.	97.43%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and Address of the Company	CIN / GLN/Reg. No.	Holding / Subsidiary / Associate	% of Shares held	Applicable section
	NOT APPLICABLE				



IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding :-

Category of Shareholders	No. of Shares held at the Beginning of the year (01/04/2019)				No. of Shares held at the end of the year (31/03/2020)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	10040000	0	10040000	73.70	10040000	0	10040000	73.70	0.00
b) Central Govt	0	0	0	0.00	0	0	0	0.00	0.00
c) State Govt(s)	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
e) Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00
f) Any Other....	0	0	0	0.00	0	0	0	0.00	0.00
Sub-total (A) (1):-	10040000	0	10040000	73.70	10040000	0	10040000	73.70	0.00
(2) Foreign									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	0.00
b) Other – Individuals	0	0	0	0	0	0	0	0	0.00
c) Bodies Corp.	0	0	0	0	0	0	0	0	0.00
d) Banks / FI	0	0	0	0	0	0	0	0	0.00
e) Any Other....	0	0	0	0	0	0	0	0	0.00
Sub-total (A) (2):-	0	0	0	0	0	0	0	0	0.00
Total Shareholding of Promoter (A) = (A)(1)+(A)(2)	10040000	0	10040000	73.70	10040000	0	10040000	73.70	0.00



B. Public Shareholding									
1. Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0.00
b) Banks / FI	0	0	0	0	0	0	0	0	0.00
c) Central Govt	200	0	200	0.0015	200	0	200	0.0015	0.00
d) State Govt(s)	0	0	0	0	0	0	0	0	0.00
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0.00
f) Insurance Companies	0	0	0	0	0	0	0	0	0.00
g) FIIs	45181	0	45181	0.33	59943	0	59943	0.44	0.11
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0.00
i) Others (specify)	0	0	0	0	0	0	0	0	0.00
Sub-total (B)(1):-	45381	0	45381	0.33	60143	0	60143	0.44	0.11
2. Non Institutions									
a) Bodies Corp.									
i) Indian	54106	20800	74906	0.55	161107	20800	181907	1.34	0.79
ii) Overseas	0	0	0	0.00	0	0	0	0	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	1173195	907023	2080218	15.27	1138554	888623	2027177	14.88	(0.39)
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	1210013	11050	1221063	8.96	1151393	11050	1162443	8.53	(0.43)
c) Others (specify)									
i) Hindu Undivided Family	103420	0	103420	0.76	120193	0	120193	0.88	0.12
ii) Clearing Member	34133	0	34133	0.25	7019	0	7019	0.05	(0.20)
iii) Non Resident Indians (REPAT)	8221	0	8221	0.06	8101	0	8101	0.06	0.00



iv) Non Resident Indians (NON REPAT)	6610	9600	16210	0.12	6969	9600	16569	0.12	0.00
Sub-total (B)(2):-	2589698	948473	3538171	25.97	2593336	930073	3523409	25.86	(0.11)
Total Public Shareholding (B)=(B)(1)+ (B)(2)	2635079	948473	3583552	26.30	2653479	930073	3583552	26.30	(0.00)
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A+B+C)	12675079	948473	13623552	100.00	12693479	930073	13623552	100.00	0.00

ii) Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year (01/04/2019)			Shareholding at the end of the year (31/03/2020)			% Change in shareholding during the year
		No. of Shares	% of total Shares of Company	% of Share Pledged/e numbered	No. of Shares	% of total Shares of company	% of Share Pledged/e numbered	
1	SAVITRI DAYARAM PAWANI	5020000	36.85	0.00	5020000	36.85	0.00	0.00
2	KISHOR PRANJIVANDAS MANDALIA	1189102	8.73	0.00	1189102	8.73	0.00	0.00
3	DAXABEN BHARATBHAI MANDALIA	890572	6.54	0.00	890572	6.54	0.00	0.00
4	VIPULKUMAR ZAVERILAL MANDALIA	836420	6.14	0.00	836420	6.14	0.00	0.00
5	ZAVERILAL VIRJIBHAI MANDALIA	804547	5.91	0.00	804547	5.91	0.00	0.00
6	CHANDRESH ZAVERILAL MANDALIA	797647	5.85	0.00	797647	5.85	0.00	0.00
7	BHARAT PRANJIVANDAS MANDALIA	364693	2.68	0.00	364693	2.68	0.00	0.00
8	ARUNABEN KISHORBHAI MANDALIA	65829	0.48	0.00	65829	0.48	0.00	0.00
9	FENNYBEN CHANDRESHBHAI MANDALIA	38883	0.29	0.00	38883	0.29	0.00	0.00
10	PRAFULLABEN ZAVERILAL MANDALIA	32307	0.24	0.00	32307	0.24	0.00	0.00
	TOTAL	10040000	73.70	0.00	10040000	73.70	0.00	0.00



iii) Change in Promoters' Shareholding (please specify, if there is no change):

Sr. No.	Name	Shareholding at the beginning of the year		Date	Increase / Decrease in share-holding	Reason#	Cumulative Shareholding during the year	
		No. of shares at beginning (01-04-19) / end of the year (31-03-20)	% of total shares of the company				No. of shares	% of total shares of the company
1.	SAVITRI DAYARAM PAWANI	5020000	36.85	1-Apr-2019	0	Nil Movement during the year		
		5020000	36.85	31-Mar-2020			5020000	36.85
2.	KISHOR PRANJIVANDAS MANDALIA	1189102	8.73	1-Apr-2019	0	Nil Movement during the year		
		1189102	8.73	31-Mar-2020			1189102	8.73
3.	DAXABEN BHARATBHAI MANDALIA	890572	6.54	1-Apr-2019	0	Nil Movement during the year		
		890572	6.54	31-Mar-2020			890572	6.54
4.	VIPULKUMAR ZAVERILAL MANDALIA	836420	6.14	1-Apr-2019	0	Nil Movement during the year		
		836420	6.14	31-Mar-2020			836420	6.14
5.	ZAVERILAL VIRJIBHAI MANDALIA	804547	5.91	1-Apr-2019	0	Nil Movement during the year		
		804547	5.91	31-Mar-2020			804547	5.91
6.	CHANDRESH ZAVERILAL MANDALIA	797647	5.85	1-Apr-2019	0	Nil Movement during the year		
		797647	5.85	31-Mar-2020			797647	5.85
7.	BHARAT PRANJIVANDAS MANDALIA	364693	2.68	1-Apr-2019	0	Nil Movement during the year		
		364693	2.68	31-Mar-2020			364693	2.68
8.	ARUNABEN KISHORBHAI MANDALIA	65829	0.48	1-Apr-2019	0	Nil Movement during the year		
		65829	0.48	31-Mar-2020			65829	0.48
9.	FENNYBEN CHANDRESHBHAI MANDALIA	38883	0.29	1-Apr-2019	0	Nil Movement during the year		
		38883	0.29	31-Mar-2020			38883	0.29
10.	PRAFULLABEN ZAVERILAL MANDALIA	32307	0.24	1-Apr-2019	0	Nil Movement during the year		
		32307	0.24	31-Mar-2020			32307	0.24

Note: There is no change in the total shareholding of promoters between 01-04-2019 to 31-03-2020.



iv) Shareholding Pattern of top ten Shareholders :- (other than Directors, Promoters and Holders of GDRs and ADRs)

Sr. No.	Name	Shareholding at the beginning of the year		Date	Increase / Decrease in share-holding	Reason	Cumulative Shareholding during the year	
		No. of shares at beginning (01-04-19) / end of the year (31-03-20)	% of total shares of the company				No. of shares	% of total shares of the company
1.	MINESH MADHUSUDAN SONI	520947	3.8239	1-Apr-2019	0	Nil Movement during the year		
		520947	3.8239	31-Mar-2020			520947	3.8239
2.	PRAVIN DIPCHAND MAJITHIA	159600	1.1715	1-Apr-2019	0	Nil Movement during the year		
		159600	1.1715	31-Mar-2020			159600	1.1715
3.	EMKAY GLOBAL FINANCIAL SERVICE LTD-CLIENT COLLATERAL ACCOUNT	0	0.0000	1-Apr-2019		Purchase Sale Purchase		
				31-May-2019	2000			
				07-Jun-2019	(100)			
		98000	0.7193	20 Mar 2020 31-Mar-2020	96100		98000	0.7193
4.	VINAY KANKARIA	71931	0.5280	1-Apr-2019		Sale Purchase		
				09-Aug-2019	(57830)			
		71931	0.5280	16-Aug-2019 31-Mar-2020	57830		71931	0.5280
5.	ELARA INDIA OPPORTUNITIES FUND LIMITED	45181	0.3361	1-Apr-2019		Purchase Purchase Purchase Purchase		
				29-Jun-2019	8297			
				19-Jul-2019	602			
				26-Jul-2019	5836			
		59943	0.4400	02-Aug-2019 31-Mar-2020	27		59943	0.4400
6.	VAISHALI SHAH	60500	0.4441	1-Apr-2019		Sale Sale		
				27-Mar-2020	(2000)			
		54500	0.4000	31-Mar-2020 31-Mar-2020	(4000)		54500	0.4000
7.	RAM NIRANJAN SEKSARIA	50000	0.3670	1-Apr-2019	0	Nil Movement during the year		
		50000	0.3670	31-Mar-2020			50000	0.3970
8.	PANKILKUMAR ARVINDKUMAR SHAH	20397	0.1497	1-Apr-2019		Sale Purchase Purchase		
				09-Aug-2019	(16933)			
				16-Aug-2019	16933			
		41285	0.3030	29-Nov-2019 31-Mar-2020	20888		41285	0.3030
9.	CHANNAGERI SATYANARAYAN A SHARATHKUMAR	0	0.0000	1-Apr-2019		Purchase Purchase Purchase Purchase Purchase Purchase Purchase Purchase		
				22-Nov-2019	32800			
				10-Jan-2020	5			
				17-Jan-2020	15			
				24-Jan-2020	35			
				31-Jan-2020	155			
				07-Feb-2020	995			
				14-Feb-2020	21			
		34030	0.2498	21-Feb-2020 31-Mar-2020	4		34030	0.2498



10.	ANIL GADA (HUF)	24250	0.1780	1-Apr-2019	0	Nil Movement during the year		
		24250	0.1780	31-Mar-2020			24250	0.1780
11.	KAVITA PRASHANT GUPTA	22800	0.1674	1-Apr-2019		Nil Movement during the year		
		22800	0.1674	31-Mar-2020			22800	0.1674
12.	MIRANDA CONSULTANTS PVT. LTD.	22000	0.1615	1-Apr-2019	0	Nil Movement during the year		
		22000	0.1615	31-Mar-2020			22000	0.1615
13.	VINOD H DIDWANIA	98000	0.7193	1-Apr-2019		Sale		
				20-Mar-2020	(98000)			
		0	0.0000	31-Mar-2020			0	0.0000

v) Shareholding of Directors and Key Managerial Personnel

Sr. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company				No. of shares	% of total shares of the company
A	DIRECTORS							
1	Kishor Pranjivandas Mandalia Managing Director And Chief Executive Officer	1189102	8.73	01-Apr-2019	0	Movement during the year/ No Change		
		1189102	8.73	31-Mar-2020			1189102	8.73
2	Zaverilal Virjibhai Mandalia Chairman/ Non Executive Director	804547	5.91	01-Apr-2019	0	Movement during the year/ No Change		
		804547	5.91	31-Mar-2020			804547	5.91
3	Vipul Zaverilal Mandalia Non-Executive Director	836420	6.14	01-Apr-2019	0	Movement during the year/ No Change		
		836420	6.14	31-Mar-2020			836420	6.14
4	Ghanshyambhai Bhikhabhai Akbari Non-Executive Director & Independent Director	0	0.00	01-Apr-2019	0	Nil Holding/ movement during the year		
		0	0.00	31-Mar-2020			0	0.00
5	Hitesh Bhogilal Adeshara Non-Executive Director & Independent Director	0	0.00	01-Apr-2019	0	Nil Holding/ movement during the year		
		0	0.00	31-Mar-2020			0	0.00
6	Nirupama Hasmushrai Vaghjiani Non-Executive Director & Independent Director	0	0.00	01-Apr-2019	0	Nil Holding/ movement during the year		
		0	0.00	31-Mar-2020			0	0.00
B	KEY MENAGERIAL PERSONNEL (KMP'S)							
1	Yogesh Ghatge Company Secretary and Compliance Officer	0	0.00	01-Apr-2019	0	Nil Holding/ movement during the year		
		0	0.00	31-Mar-2020			0	0.00
2	Rupesh Shah Chief Financial Officer	0	0.00	01-Apr-2019	0	Nil Holding/ movement during the year		
		0	0.00	31-Mar-2020			0	0.00



V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i). Principal Amount	3,21,40,92,261	Nil	Nil	3,21,40,92,261
ii). Interest due but not paid	Nil	Nil	Nil	Nil
iii). Interest accrued but not due	Nil	Nil	Nil	Nil
Total (i +ii+iii)	3,21,40,92,261	Nil	Nil	3,21,40,92,261
Change in Indebtedness during the financial year ·				
• Addition	Nil	Nil	Nil	Nil
• Reduction	69,66,68,407	Nil	Nil	69,66,68,407
Net Change	69,66,68,407	Nil	Nil	69,66,68,407
Indebtedness at the end of the financial year				
i). Principal Amount	2,51,74,23,854	Nil	Nil	2,51,74,23,854
ii). Interest due but not paid	Nil	Nil	Nil	Nil
iii). Interest accrued but not due	Nil	Nil	Nil	Nil
Total (i+ii+iii)	2,51,74,23,854	Nil	Nil	2,51,74,23,854

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr. No.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
		Mr. Kishor P. Mandalia (MD)	
1.	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0	0
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	0
	(c) Profits in lieu of salary under section 17(3) Income Tax Act, 1961	0	0
2.	Stock Option	0	0
3.	Sweat Equity	0	0
4.	Commission - as % of profit - others, specify...	0	0
5.	Others, Sitting Fees –	5000	5000
	Total (A)	5000	5000
	Ceiling as per the Act	(being 10% of the net profits of the Company calculated as per Section 198 of the Companies Act, 2013)	



B. Remuneration to other directors:

Sr. No.	Particulars of Remuneration	Name of Directors					Total Amount
		Mr. Zaverilal V. Mandalia	Mr. Vipul Z. Mandalia	Mr. Ghanshyambhai B. Akbari	Mr. Hitesh B. Adeshara	Mrs. Nirupama H. Vaghjiani	
1.	Independent Directors						
	• Fee for attending board / committee meetings	---	---	4000	4000	4000	12000
	• Commission	---	---	0	0	0	0
	• Others, please specify	---	---	0	0	0	0
	Total (1)	---	---	4000	4000	4000	12000
2.	Other Non-Executive Directors						
	• Fee for attending board / committee meetings	4000	5000	---	---	---	9000
	• Commission	0	0	---	---	---	0
	• Others, please specify	0	0	---	---	---	0
	Total (2)	4000	5000	---	---	---	9000
	Total (B)=(1+2)	4000	5000	4000	4000	4000	21000
	Total Managerial Remuneration*						
	Overall Ceiling as per the Act	(being 1% of the net profits of the Company calculated as per Section 198 of the Companies Act, 2013)					

*Total remuneration to Managing Director, Whole-Time Directors and other Directors (being the total of A and B)

C. Remuneration to Key Managerial Personnel other than MD / Manager / WTD:

Sr. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary (Yogesh Ghatge)	CFO (Rupesh Shah)	Total
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0	5,89,596	6,32,598	12,22,194
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	0	0	0
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0	0	0	0
2.	Stock Option	0	0	0	0
3.	Sweat Equity	0	0	0	0
4.	Commission - as % of profit - others, specify...	0	0	0	0
5.	Others, Gratuity	0	0	0	0
	Total	0	5,89,596	6,32,598	12,22,194



VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (Give Details)
A. <u>Company</u>					
Penalty	NIL				
Punishment					
Compounding					
B. <u>Director</u>					
Penalty	NIL				
Punishment					
Compounding					
C. <u>Other Officers in Default</u>					
Penalty	NIL				
Punishment					
Compounding					

**For AuSom Enterprise Limited
For and on behalf of the Board of Directors,**

**Place: Ahmedabad
Date: 1st September, 2020**

**Kishor P. Mandalia
Managing Director
DIN: 00126209**

**Vipul Z. Mandalia
Director
DIN: 02327708**